

In re the Application of:

IZUMI, Katsutoshi, et al.

Group Art Unit: 2815

Serial No.: 10/699,832

Examiner: Jerome Jackson, Jr.

Filed: November 4, 2003

P.T.O. Confirmation No.: 5574

For.

MONOCRYSTALLINE GALLIUM NITRIDE LOCALIZED SUBSTRATE AND MANUFACTURING METHOD THEREOF

INFORMATION DISCLOSURE STATEMENT AND STATEMENT PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

May 3, 2005

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies that each item of information contained in this statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP

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DAG/lrj Atty. Docket No. **031258** Suite 1000 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930

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PATENT TRADEMARK OFFICE

Enclosures:

PTO-1449, 4 References, and

Notification of Reasons for Refusal received from the Japan Patent Office

w/English translation dated 2/8/05.



INFORMATION TRADE **DISCLOSURE CITATION** PTO-1449

itty. Docket No. 031258

Serial No. 10/699,832

Applicant(s):

IZUMI, Katsutoshi, et al.

Filing Date: November 4, 2003

Group Art Unit: 2815

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
	AF	2001-168039A		Japan	Translation only
	AG	2002-246646A		Japan	Translation only
	AH	11-274079A		Japan	Translation only
<u> </u>	AI	06-326416A		Japan	Translation only
	AJ				

OTHER DOCUMENTS

	AK AL	Notification of Reasons for Refusal received from the Japan Patent Office with English translation dated 2/8/05.
Examiner		Date Considered